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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE APPLICATION OF

Simon Daniel Brueckheimer et al.

SERIAL NO.: 10/803,215

FILED: March 18, 2004

FOR: ATM Communications System  
and Method

GROUP ART UNIT NO.: 2661

CUSTOMER NUMBER: 23644

Certificate of Mailing

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450," on April 9, 2007.

Name of person signing: Shannon Vitullo

Signature: \_\_\_\_\_

REQUEST FOR CORRECTION OF INVENTORSHIP

Honorable Director of Patents and Trademarks  
PO Box 1450  
Alexandria VA 22313-1450

Dear Sir:

It is requested that the inventorship of this application be corrected pursuant to 37 C.F.R. §1.48(a) to correct the inventorship for this application in order to delete Roy Harold Mauger as an inventor and substitute David John Stacey.

This application is a continuation of what has now become U.S. Patent No. 6,731,635, and a similar request has been filed regarding that patent. Unfortunately, although the request was filed with the Patent and Trademark Office in September, 2004, the Patent and Trademark Office never acted on the request.

In accordance with 37 C.F.R. §1.48(a), the following requested information is provided, that information being identical to the information submitted regarding parent Patent No. 6,731,635.

- (1) A request, which is the paragraph immediately above;
- (2) A statement from each person being added and deleted;

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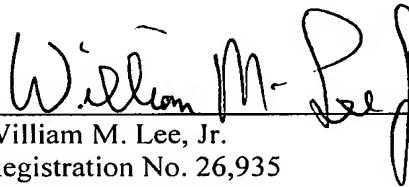
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- (3) A substitute Declaration (which given the fact that this application is a continuation, is properly filed in what has now become Patent No. 6,731,635);
- (4) The fee of \$130.00 pursuant to 37 C.F.R. §1.17(i); and
- (5) Written consent of the assignee, which is in relation to Patent No. 6,731,635 which is the parent of this continuation application.

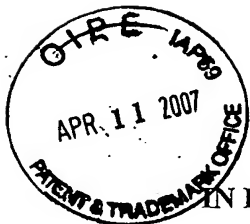
Correction of the inventorship for this application is therefore requested.

Respectfully submitted,



Date: April 9, 2007

William M. Lee, Jr.  
Registration No. 26,935  
Barnes & Thornburg  
PO Box 2786  
Chicago IL 60690-2786  
(312) 357-1313 Telephone  
(312) 759-5646 Facsimile



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE APPLICATION OF )

Brueckheimer et al. )

SERIAL NO.: 09/242,500 )

FILED: February 12, 1999 )

FOR: ATM COMMUNICATIONS  
SYSTEMS AND METHOD )

EXAMINER: Kenneth N. Vanderpuye

Group Art Unit: 2661

Customer Number: 23644

Docket No. 920476-904607 (1729)

**STATEMENT OF DAVID JOHN STACEY IN SUPPORT  
OF REQUEST TO CORRECT INVENTORSHIP**

Honorable Director of Patents and Trademarks  
PO Box 1450  
Alexandria VA 22313-1450

Dear Sir:

David John Stacey declares as follows:

1) he was omitted as an inventor named in the above-identified application, and that error in inventorship occurred without deceptive intention on his part.

2) All statements made herein of his own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title XVIII of the United States Code and that such willful false statements made jeopardize the validity of this application or any patent issued thereon.

Dated: 14 June 2004

DJ Stacey  
Name



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE APPLICATION OF )

Brueckheimer et al. )

EXAMINER: Kenneth N. Vanderpuye

SERIAL NO.: 09/242,500 )

Group Art Unit: 2661

FILED: February 12, 1999 )

Customer Number: 23644

FOR: ATM COMMUNICATIONS  
SYSTEMS AND METHOD )

Docket No. 920476-904607 (1729)

**STATEMENT OF ROY HAROLD MAUGER IN SUPPORT  
OF REQUEST TO CORRECT INVENTORSHIP**

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Honorable Director of Patents and Trademarks  
PO Box 1450  
Alexandria VA 22313-1450

Dear Sir:

Roy Harold Mauger declares as follows:

1) he is named as an inventor in the above-identified application, but that is incorrect and his name should be deleted. The error in inventorship occurred without deceptive intention on his part.

2) All statements made herein of his own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title XVIII of the United States Code and that such willful false statements made jeopardize the validity of this application or any patent issued thereon.

Dated: 10<sup>th</sup> June 2004 RH Mauger  
Name



## DECLARATION AND POWER OF ATTORNEY -- PATENT APPLICATION

As a below named inventor, I hereby declare that I believe I am the original, first and sole inventor (*if only one name is listed below*) or an original, first and joint inventor (*if plural names are listed below*) of the subject matter which is claimed and for which a patent is sought in the application entitled:

ATM Communications System and Method

the specification of which

(check one)

☒ is attached hereto \_\_\_\_\_ as  
☒ was filed on February 12, 1999 \_\_\_\_\_ or  
☐ United States Application Serial No. 09/242,500  
☒ PCT International Application No. PCT/GB97/02880  
and was amended on \_\_\_\_\_

I hereby declare that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to herein.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent or inventor's certificate on which priority is claimed (as listed below) and I have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)			Priority Claimed	
9621776.5	GB	18 October 1996	X	
(Number)	(Country)	(Day/Month/Year Filed)	Yes	No
GB97/02880	PCT	17 October 1997	X	

I hereby claim benefit under Title 35, United States Code, § 119(e) of any United States provisional application(s) listed below.

Application Number

Filing Date

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(b) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.

Filing Date

Status-patented, pending, abandoned

I hereby appoint William R. Coffey, Reg. No. 24023; Arland T. Stein, Reg. No. 25062; Richard D. Conard, Reg. No. 27321; Dilip A. Kulkarni, Reg. No. 27510; Richard A. Rezek, Reg. No. 30796; Paul B. Hunt, Reg. No. 37154; Jeffrey A. Michael, Reg. No. 37394; Bradford G. Addison, Reg. No. 41486; Shawn D. Bauer, Reg. No. 41603; Ronald S. Henderson, Reg. No. 43669; James R. Sweeney II, Reg. No. 45670; Christopher E. Haigh, Reg. No. 46377; Kevin D. Bailey, Reg. No. 46531; Rebecca Ball, Reg. No. 46535; Carli E. Stewart, Reg. No. 51058; Helen Geib, Reg. No. 50924; Kevin L. McLaren, Reg. No. 48351; Perry Palan, Reg. No. 26213; Mark M. Newman, Reg. No. 31472; Richard P. Krinsky, Reg. No. 47720; Richard B. Lazarus, Reg. No. 48215; Bobby B. Gillenwater, Reg. No. 31105; Gregory S. Cooper, Reg. No. 40965; Thomas J. Donovan, Reg. No. 33231; Alice O. Martin, Reg. No. 35601; Grant H. Peters, Reg. No. 35977; Daniel P. Albers, Reg. No. 44008; Sendil Devadas, Reg. No. 52425; Thomas E. Smith, Reg. No. 18243; Dennis M. McWilliams, Reg. No. 25195; James R. Sweeney, Reg. No. 18721; William M. Lee, Jr., Reg. No. 26935; Glenn W. Ohlson, Reg. No. 28455; David C. Brezina, Reg. No. 34128; Jeffrey R. Gray,

Reg. No. 33391; Gerald S. Geren, Reg. No. 24528; Robert F.I. Conte, Reg. No. 20354; Timothy J. Engling, Reg. No. 39970; Howard B. Rockman, Reg. No. 22190; Peter J. Shakula, Reg. No. 40808; Mark A. Hagedorn, Reg. No. 44731; Mark J. Nahnsen, Reg. No. 51093; Brian J. Gill, Reg. No. 46,727; and Cynthia L. Smith, Reg. No. 53,608, James B. Conte, Reg. No. 54661, as attorneys of record with full power of substitution and revocation, to prosecute this application, and to transact all business in the Patent and Trademark Office connected therewith, and I specify that communications regarding the application be directed to:

BARNES & THORNBURG LLP  
P.O. Box 2786  
Chicago, Illinois 60690-2786  
(312) 357-1313  
(312) 759-5646 Fax.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Simon Daniel Brueckheimer  
Full Name of Sole or First Joint Inventor

S. Brueckheimer  
Inventor's Signature

74 Church Crescent

London N10 3NE United Kingdom  
Residence and Post Office Address

David John Stacey  
Full Name of Second Joint Inventor

DJ Stacey  
Inventor's Signature

12 Cresset Close, Stanstead Abbots  
Herts SG12 8LL United Kingdom  
Residence and Post Office Address

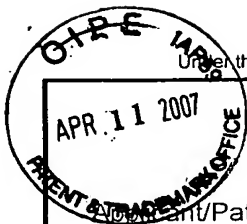
United Kingdom  
Country of Citizenship

16th June 2004  
Date

United Kingdom  
Country of Citizenship

14 JUNE 2004  
Date

14 LONGMEAD  
WOOLTON HILL  
NEWBURY, RG20 92Y  
UNITED KINGDOM



## STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Simon-Daniel Brueckheimer et al.

Application No./Patent No.: 09/242,500 Filed/Issue Date: February 12, 1999

Entitled: ATM COMMUNICATION SYSTEM AND METHOD

Northern Telecom Limited(now Nortel Networks , a corporation

(Name of Assignee)

Limited)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 010178 , Frame 0669 , or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

March 16, 2004

Date

44-1279-405673

Telephone number

Angela Anderson

Typed or printed name

Signature

Director of Intellectual Property Law(Europe)

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETE D FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.